

Whole of Government

Open Data Policy



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1. INTRODUCTION

The Western Australian public sector collects and uses a vast array of data in the course of its everyday operations. This data is a valuable asset that can help us improve the quality and efficiency of government services and deliver a healthier society, environment and economy.

Many agencies already make their data available to the public, including on their websites or in reports and publications. Taking steps to make this data easier to find and use, and opening access to other datasets, will unlock opportunities for the public sector, businesses and communities to utilise data in more and diverse ways. This gives rise to the development of new insights, ideas and services that have the potential to improve the way we work and live.

2. PURPOSE

The purpose of the Western Australian Whole of Government Open Data Policy (the Policy) is to improve management and use of the public sector's data assets in order to deliver value and benefits for all Western Australians. This includes greater release of appropriate and high-value data to the public in ways that are easily *discoverable* and *usable*.

It is intended that opening access to public sector data will increase productivity and improve service delivery by supporting innovation, research and education, and by facilitating collaboration and evidence-based decision making.

The Policy and its implementation guidance and tools:

- clearly outlines the Western Australian Government's position on open data;
- encourages a well-considered and consistent approach across the public sector to opening access to data (which also ensures the privacy of individuals is adequately protected);
- demonstrates the value of data and the potential benefits of opening access to data; and
- helps agencies implement best practice information management principles to achieve open data objectives.

3. BACKGROUND

3.1 What is open data?

In practice across Australia and the world, the approach to open data varies to some extent. For the purpose of this Policy, data is considered 'open' when it is:

released and available for the general public (not for exclusive use);

- easily discoverable;
- in formats that are modifiable, *non-proprietary* and *machine-readable*;
- licensed to enable reuse and redistribution; and
- available at no cost to users.

The Policy recognises the above situation for 'open' data is not always possible or appropriate. For example, there may be instances where more restrictive licences are used and/or a charge is applied.

3.2 Why open data?

Opening access to public sector data, together with approaches to removing restrictions surrounding its use, is a growing trend nationally and internationally. Better management and use of data within government, and enabling broader access and use (e.g. by non-government organisations, businesses and industry, academia and members of the public) has a range of potential benefits for both the public sector and the community.

This includes a more efficient and effective public sector through improvements in the use and application of data for financial and evidence-based policy decisions; strategic and targeted cross-agency collaboration; and the development of innovative solutions, services and tools where there is an identified policy or community need. Opening access to data also supports public sector efficiencies and savings through reduced duplication, streamlined processes, and the development and delivery of tools/services more quickly and at lower costs.

For the broader community, potential social and economic benefits include opportunities to develop new businesses and industries (including the not-for-profit sector); improved research outcomes; and better business and community decision making.

Opening access to public sector data also promotes a more transparent and accountable government by providing greater visibility around government activity and expenditure.

4. SCOPE

The target beneficiaries of this Policy are the people of Western Australia.

The Policy applies to all agencies and organisations (agencies) covered by the *Public Sector Management Act 1994* (the Act). The Policy also applies to agencies listed in Schedules 1 and 2 to the Act (e.g. government enterprises, universities, local government, courts and tribunals), consultants and contractors to government, and recipients of government grants.

The focus of the Policy is *raw data* (data not yet subjected to analysis or interpretation). However, the Policy can also apply to other types of *information* such as data that has been processed to provide greater value¹.

Achieving a comprehensive approach to *open data* is a progressive and evolving process. In the first instance, agencies should improve the *discoverability* and *usability* of existing datasets by making them open, prioritising those datasets that are already publicly available, in demand by the public, and/or considered high-value.

The Policy also applies to all new data collection and creation, development of systems that collect or create data, and any modernisation projects that update existing data systems.

Before publishing data, agencies should consider their own legislative requirements, and the value and intended outcome of openness against the cost and potential implications of making that data open. This will assist with decisions surrounding how best to manage the data, including whether to release the data and under what conditions, and the priorities for release.

It is expected that agencies will implement the Policy using existing budgets and resources.

5. DATA QUALITY

It is important users have confidence in the data they are accessing and using, and are made aware of any caveats relating to it. To enable users to determine whether a dataset is suitable for their purposes, data should be made available with a statement, or *metadata*, regarding its purpose and *quality*.

Even where there are limitations with regard to a dataset, such as an incomplete dataset, releasing the data is encouraged, provided sufficient information is included to notify users of any limitations or gaps.

6. PRINCIPLES

The following principles describe best-practice information management standards for open data across the Western Australian public sector.

6.1 Open by default

Agencies are encouraged to adopt a position of data openness, with the prerogative in favour of data release, unless there is a clear need to restrict or preclude access for reasons of privacy, confidentiality, security or other relevant considerations (see section 6.4 of this Policy).

¹ Refer to **Appendix A** for the definitions of terms used throughout the Policy (indicated in italics).

Wherever possible, data should be made available at no or minimal cost to users in order to maximise the potential for use. The Policy recognises that there may be legitimate instances where agencies consider applying a reasonable charge for the data, such as where there are significant costs in making the data available. Agencies are encouraged to discuss these considerations with the lead agency for implementation (Landgate).

6.2 Easily discoverable and subject to public input

Data will be easily *discoverable* by being accessible through the Government of Western Australia's online data portal (<u>data.wa.gov.au</u>). The portal will provide a single and convenient point of access to open data in Western Australia. Publishing data with *metadata* will better enable users to search for and understand how best to use the data.

Opening access to data facilitates two-way engagement and collaboration between government and the public, industry and other groups. To support this, there will be mechanisms to enable public input and engagement surrounding the data and how it is used.

6.3 Usable

Data should be published in internationally recognised, open standard formats that make it easy to use and transform – that is, formats that are *non-proprietary* and *machine-readable*.

Publishing raw data as collected at the source, with a high level of *granularity*, is preferred where appropriate; however some data may be best published in *aggregate* or modified forms, for example where the considerations outlined in section 6.4 apply.

Data should be licensed appropriately by agencies with clear terms surrounding *copyright* and use. Where possible and appropriate, a non-restrictive licence should be used to promote maximum dissemination and reuse of the data.

Agencies are encouraged to use existing licensing arrangements applied to their data where this makes the data available according to the principles of the Policy. Where these are not suitable, or there is no existing licence in place, standardised licensing frameworks, such as *Creative Commons*, may be useful. The *Creative Commons Attribution (CC BY)* licence, for example, is consistent with the principles of the Policy. However, it should be noted that once a *Creative Commons* licence has been implemented, it cannot be revoked.

There may be instances where agencies are required to set out special copyright arrangements; legislation stipulates a particular copyright position; or a more restrictive licence to those provided under *Creative Commons* is required. Agencies should check and consider their own requirements prior to publishing data.

Data may have inherent intellectual property and deliver more value and better outcomes for the State when access to the data is managed appropriately. Agencies should consider the <u>Western Australian Government Intellectual Property Policy 2015</u>, which provides guidance on the development, management and use of intellectual property assets, including data.

6.4 Protected where required

A large amount of data collected by the public sector will be suitable for public release. However, there are instances where the data should be protected and thus, access restricted or precluded, including:

- privacy where personal or sensitive information is involved that can be identified for an individual, or may be involved as an unintended result of *data linking* or combination (see below for more information);
- security because of the nature of the data or information;
- confidentiality arising because of the nature of the data or information itself or because a contractual arrangement has been made in relation to the data or information;
- legal privilege attached to certain legal advice;
- commercial such as commercial-in-confidence, patent pending or intellectual property considerations; and
- public interest if there are public interest considerations against release and, on balance, those considerations outweigh the public interest considerations in favour of release.

Before releasing data, agencies should carefully consider and address any privacy concerns. That is, data that is released must not be, or able to be, associated with any individual. Agencies may need to de-identify data, which means removing anything that can identify a person. Caution needs to be exercised where disparate datasets, individually de-identified, could potentially be linked or combined to re-identify individuals.

Agencies should classify their information in accordance with the <u>WA Information Classification</u> <u>Policy</u> to understand the sensitivity of their data assets. Agencies can then apply appropriate controls including own specific legislative provisions relating to the release of data and information.

6.5 Timely

Data should be as up-to-date as possible and made available to users in a timely manner. Agencies should clearly state the date(s) of data collection and publishing so as to permit users

to make a judgement on the currency of the dataset. As data is updated, agencies should aim to make it available as soon as possible, or on a consistent periodic basis.

7. IMPLEMENTATION

Implementation and maintenance of the Policy will be led by Landgate.

This Policy will build on the Western Australian Government's success in opening access to location-based data through the Open Data Portal <u>data.wa.gov.au</u>, and the Shared Location Information Platform (SLIP) established by Landgate.

Implementation of this Policy will be a progressive and evolving process. It is not expected that agencies will immediately assume a level of *open data maturity*. Rather, agencies are encouraged to consider their current level and move progressively towards a position of data openness as outlined in this Policy.

Agencies should give consideration to how they can collect and manage their data in a way that supports both downstream data processing and the release of data to the public in manners consistent with the Policy (and without the need for costly retrofitting). Agencies should also consider how they will effectively manage their data as an asset, such as by keeping a data inventory or asset register. To support this, agencies are encouraged to develop their own agency-level open data policy, or incorporate open data into an existing data or information management policy.

Additional information and guidance has been developed to support agencies in implementing the Policy including Common Standards for Core Data Fields and CodeWA — a portal for sharing open source code, tools and software developed by the WA public sector. Landgate as the lead agency for implementation will provide further support as the Policy is rolled-out, capturing lessons from the experience of open data in Western Australia, other Australian jurisdictions and overseas.

8. REVIEW

This Policy is subject to review at least every two years, or as deemed appropriate, thereafter.

9. RELATED GUIDANCE

Agencies will need to ensure implementation of this Policy is consistent with, and operating within any applicable legislative, policy and strategic frameworks. This may include, but is not limited to:

Legislation

- Copyright Act 1968 (Commonwealth)
- Privacy Act 1988 (Commonwealth)
- Public Sector Management Act 1994
- Freedom of Information Act 1992
- State Records Act 2000
- Financial Management Act 2006

Policies and strategies

- Digital Strategy for the Western Australian Government 2021 2025
- Western Australian Government Intellectual Property Policy 2015
- Western Australian Information Classification Policy 2020

Resources

- WA Government Common Standards for core data fields
- CodeWA a portal for sharing open source code, tools and software developed by the WA public sector.

APPENDIX A – DEFINITION OF TERMS

Copyright is an exclusive and assignable legal right, given to the creator of a dataset to enjoy the use of the data. It has the meaning given to it in the *Copyright Act 1968* and broadly refers to the legal rights that automatically apply to the original expression of an idea.

Creative Commons licences provide a simple and standardised way for individual creators, companies and institutions to share their work with others on flexible terms without infringing copyright. The licences allow users to reuse, remix and share the content legally²

Creative Commons Attribution (CC BY) is a licence that allows users to distribute, remix and build upon a work, and create Derivative Works – even for commercial use – provided they credit the original creator(s). It is the most accommodating of the Creative Commons licences in terms of what others can do with the work.

Data is information for computer processing. That is, in a form suitable for storage in, or processing by computer software. Data typically comprises numbers and text but can also comprise things such as images, sounds and symbols. A dataset is a collection of related data records.

² Creative Commons Australia. (n.d.). *About the Licences*. Retrieved 9 April 2015, from http://creativecommons.org.au/learn/licences/

Raw data is data in pre-interpreted form or not yet subjected to analysis or processing.

Information is any collection of data that is processed, analysed, interpreted, classified or communicated in order to serve a useful purpose, present fact or represent knowledge in any medium or form³.

Data linking is where separate sets of data are combined or a connection between the data is made. Data linking can provide a means for better analysis of the subjects of the data; however caution needs to be exercised to ensure it does not reveal personally-identifiable information.

Discoverable/discoverability for the purpose of this Policy refers to the ability for anyone to easily discover that a dataset exists and is provided with sufficient information on how to access the data. This involves the data being discoverable through the Western Australian Government's online data portal (data.wa.gov.au).

Granularity refers to the sub-division of data attributes. A high level of granularity means data is divided into multiple fields with each representing a single piece of data. For example, an address could be represented by many separate attributes such as a house number, a street name, a postcode, a state and so on, instead of a single 'address' attribute.

Aggregate (data) is when groups of data records are replaced with summary records based on the original data. Aggregating data may be used to address the challenges discussed in section 6.4 of the Policy and can reduce the time to query large sets of data. However it comes at the cost of losing access to detail in the original data sources.

Machine-readable (data) is data which is in a format that can be read and interpreted by a computer program or through a calculation process without the need for manual human intervention. Common examples are spreadsheets and data tables. Formats generally not considered machine-readable include PDF documents.

Metadata is data that defines and describes other data, allowing users to find, manage, control and understand that data.

Non-proprietary formats can be accessed by any software, that is, is does not require specific software or systems to access it.

Open data, for the purpose of this Policy, is data released and available to the general public in a way that is easily *discoverable and usable*. Where possible and appropriate, data will be made available with an unrestrictive licence and at no cost to users.

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³ Office of the Australian Information Commissioner. (2012). *Open public sector information: from principles to practice*. Retrieved 9 April 2015, from https://www.oaic.gov.au/information-policy/information-policy-resources/open-public-sector-information-from-principles-to-practice

Open data maturity refers to a level of full data openness as per the principles and scope of this Policy. Also, the World Wide Web Consortium's 5 Star Open Data Model⁴ describes the different characteristics of open data and is used globally as a model for assessing data readiness for reuse. The New Zealand Government's guidance on applying Principles for managing data and information held by the New Zealand Government is a useful resource for agencies.

Quality (with regard to data) is a multidimensional concept which includes Institutional Environment, Relevance, Timeliness, Accuracy, Coherence, Interpretability and Accessibility.⁵

Usable/usability for the purpose of this Policy refers to the ability of the data to be easily reused, transformed and shared by users through open formats and licences.

⁴ Tim Berners-Lee, World Wide Web Consortium. (2006). *5 Star Open Data Model*. Retrieved 9 April 2015, from http://5stardata.info/

⁵ Australian Bureau of Statistics. (2009). *1520.0 – ABS Data Quality Framework*. Retrieved 9 April 2015, from http://www.abs.gov.au/ausstats/abs@.nsf/Latestproducts/1520.0Main%20Features2May%202009?opendocument&tabname=Summary&prodno=1520.0&issue=May%202009&num=&view

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