THE IAP2 PUBLIC PARTICIPATION SPECTRUM

Developed by the International Association for Public Participation.

INCREASING LEVEL OF PUBLIC IMPACT

Inform	Consult	Involve	Collaborate	Empower
Objective: To provide the public with balanced and objective information to assist them in understanding the problem, alternatives, and/or solution.	Objective: To obtain public feedback on analysis, alternatives and/or decisions.	Objective: To work directly with the public throughout the process to ensure that public issues and concerns are consistently understood and considered.	Objective: To partner with the public in each aspect of the decision including the development of alternatives and the identification of the preferred solution.	Objective: To place final decison–making in the hands of the public.
Promise to the Public: We will keep you informed.	Promise to the Public: We will keep you informed, listen to and acknowledge concerns, and provide feedback on how public input influenced the decision.	Promise to the Public: We will work with you to ensure that your concerns and issues are directly reflected in the alternatives developed and provide feedback on how public input influenced the decision.	Promise to the Public: We will look to you for direct advice and innovation in formulating solutions and incorporate your advice and recommendations into the decisions to the maximum extent possible.	Promise to the Public : We will implement what you decide.
Example Tools Fact sheets Web sites Open houses	Example Tools Public comment Focus groups Surveys Public meetings	Example Tools Workshops Deliberative polling	Example Tools Citizen Advisory Committees Consensus-building Participatory decision-making	Example Tools Citizen Juries Ballots Delegated decisions

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THE IAP2 PUBLIC PARTICIPATION TOOLBOX

Techniques to share information

for Public Participation			
TECHNIQUE	ALWAYS THINK IT THROUGH	WHAT CAN GO RIGHT	WHAT CAN GO WRONG
PRINTED PUBLIC INFORMATION MAT	ERIALS		
 Fact Sheets Newsletters Brochures Issue Papers 	 KISS! - Keep It Short and Simple Make it visually interesting but avoid a slick sales look Include a postage-paid comment form to encourage two-way communication and to expand mailing list Be sure to explain public role and how public comments have affected project decisions. Q&A format works well 	 Can reach large target audience Allows for technical and legal reviews Encourages written responses if comment form enclosed Facilitates documentation of public involvement process 	 Only as good as the mailing list/ distribution network Limited capability to communicate complicated concepts No guarantee materials will be read
INFORMATION REPOSITORIES			
Libraries, city halls, distribution centers, schools, and other public facilities make good locations for housing project-related information	 Make sure personnel at location know where materials are kept Keep list of repository items Track usage through a sign-in sheet 	 Relevant information is accessible to the public without incurring the costs or complications of tracking multiple copies sent to different people Can set up visible distribution centers for project information 	 Information repositories are often not well used by the public
TECHNICAL REPORTS			
Technical documents reporting research or policy findings	 Reports are often more credible if prepared by independent groups 	 Provides for thorough explanation of project decisions 	 Can be more detailed than desired by many participants May not be written in clear, accessible language
ADVERTISEMENTS			
Paid advertisements in newspapers and magazines	 Figure out the best days and best sections of the paper to reach intended audience Avoid rarely read notice sections 	 Potentially reaches broad public 	 Expensive, especially in urban areas Allows for relatively limited amount of information
NEWSPAPER INSERTS			
A "fact sheet" within the local newspaper	 Design needs to get noticed in the pile of inserts Try on a day that has few other inserts 	 Provides community-wide distribution of information Presented in the context of local paper, insert is more likely to be read and taken seriously Provides opportunity to include public comment form 	• Expensive, especially in urban areas

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FEATURE STORIES			
Focused stories on general project-related issues	 Anticipate visuals or schedule interesting events to help sell the story Recognize that reporters are always looking for an angle 	 Can heighten the perceived importance of the project More likely to be read and taken seriously by the public 	 No control over what information is presented or how
BILL STUFFER			
Information flyer included with monthly utility bill	 Design bill stuffers to be eye-catching to encourage readership 	 Widespread distribution within service area Economical use of existing mailings 	 Limited information can be conveyed Message may get confused as from the mailing entity
PRESS RELEASES			
	 Fax or e-mail press releases or media kits Foster a relationship of editorial board and reporters 	 Informs the media of project milestones Press release language is often used directly in articles Opportunity for technical and legal reviews 	 Low media response rate Frequent poor placement of press release within newspapers
NEWS CONFERENCES			
	 Make sure all speakers are trained in media relations 	 Opportunity to reach all media in one setting 	• Limited to news-worthy events
TELEVISION			
Television programming to present information and elicit audience response	 Cable options are expanding and can be inexpensive Check out expanding video options on the internet 	 Can be used in multiple geographic areas Many people will take the time to watch rather than read 	 High expense Difficult to gauge impact on audience
INFORMATION CENTERS and FIELD OFF	TCES		
Offices established with prescribed hours to distribute information and respond to inquiries	 Provide adequate staff to accommodate group tours Use brochures and videotapes to advertise and reach broader audience Consider providing internet access station Select an accessible and frequented location 	 Provides opportunity for positive media coverage at groundbreaking and other significant events Excellent opportunity to educate school children Places information dissemination in a positive educational setting Information is easily accessible to the public Provides an opportunity for more responsive ongoing communications focused on specific public involvement activities 	 Relatively expensive, especially for project specific use Access is limited to those in vicinity of the center unless facility is mobile

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EXPERT PANELS			
Public meeting designed in "Meet the Press" format. Media panel interviews experts from different perspectives.	 Provide opportunity for participation by general public following panel Have a neutral moderator Agree on ground rules in advance Possibly encourage local organizations to sponsor rather than challenge 	 Encourages education of the media Presents opportunity for balanced discussion of key issues Provides opportunity to dispel scientific misinformation 	 Requires substantial preparation and organization May enhance public concerns by increasing visibility of issues
BRIEFINGS			
Use regular meetings of social and civic clubs and organizations to provide an opportunity to inform and educate. Normally these groups need speakers. Examples of target audiences: Rotary Club, Lions Clubs, Elks Clubs, Kiwanis, League of Women Voters. Also a good technique for elected officials.	 KISS - Keep it Short and Simple Use "show and tell" techniques Bring visuals 	 Control of information/ presentation Opportunity to reach a wide variety of individuals who may not have been attracted to another format Opportunity to expand mailing list Similar presentations can be used for different groups Builds community good will 	 Project stakeholders may not be in target audiences Topic may be too technical to capture interest of audience
CENTRAL INFORMATION CONTACT			
Identify designated contacts for the public and media	 If possible, list a person not a position Best if contact person is local Anticipate how phones will be answered Make sure message is kept up to date 	 People don't get "the run around" when they call Controls information flow Conveys image of "accessibility" 	 Designated contact must be committed to and prepared for prompt and accurate responses May filter public message from technical staff and decision makers May not serve to answer many of the toughest questions
WEB SITES			
A Web site provides information and links to other sites through the World Wide Web. Electronic mailing lists are included.	 A good home page is critical Each Web page must be independent Put critical information at the top of page Use headings, bulleted and numbered lists to steer user 	 Reaches across distances Makes information accessible anywhere at any time Saves printing and mailing costs 	 User may not have easy access to the Internet or knowledge of how to use computers Large files or graphics can take a long time to download
TECHNICAL INFORMATION CONTACT			
Providing access to technical expertise to individuals and organizations	• The technical resource must be perceived as credible by the audience	 Builds credibility and helps address public concerns about equity Can be effective conflict resolution technique where facts are debated 	 Limited opportunities exist for providing technical assistance Technical experts may counter project information
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Techniques to compile input and provide feedback

TECHNIQUE	ALWAYS THINK IT THROUGH	WHAT CAN GO RIGHT	WHAT CAN GO WRONG
INFORMATION HOT LINE			
Identify a separate line for public access to prerecorded project information or to reach project team members who can answer questions/ obtain input	 Make sure contact has sufficient knowledge to answer most project-related questions If possible, list a person not a position Best if contact person is local 	 People don't get "the run around" when they call Controls information flow Conveys image of "accessibility" Easy to provide updates on project activities 	 Designated contact must be committed to and prepared for prompt and accurate responses
INTERVIEWS			
One-to-one meetings with stakeholders to gain information for developing or refining public involvement and consensus building programs	 Where feasible, interviews should be conducted in-person, particularly when considering candidates for citizens committees 	 Provides opportunity for in-depth information exchange in non-threatening forum Provides opportunity to obtain feedback from all stakeholders Can be used to evaluate potential citizen committee members 	 Scheduling multiple interviews can be time consuming
IN-PERSON SURVEYS			
One-on-one "focus groups" with standardized questionnaire or methodology such as "stated preference"	 Make sure use of result is clear before technique is designed 	 Provides traceable data Reaches broad, representative public 	• Expensive
RESPONSE SHEETS			
Mail-In-forms often included in fact sheets and other project mailings to gain information on public concerns and preferences	 Use prepaid postage Include a section to add name to the mailing list Document results as part of public involvement record 	 Provides input from those who would be unlikely to attend meetings Provides a mechanism for expanding mailing list 	 Does not generate statistically valid results Only as good as the mailing list Results can be easily skewed
MAILED SURVEYS & QUESTIONNAIRES			
Inquiries mailed randomly to sample population to gain specific information for statistical validation	 Make sure you need statistically valid results before making investment Survey/questionnaire should be professionally developed and administered to avoid bias Most suitable for general attitudinal surveys 	 Provides input from individuals who would be unlikely to attend meetings Provides input from cross-section of public not just activists Statistically tested results are more persuasive with political bodies and the general public 	 Response rate is generally low For statistically valid results, can be labor intensive and expensive Level of detail may be limited

TECHNIQUE	ALWAYS THINK IT THROUGH	WHAT CAN GO RIGHT	WHAT CAN GO WRONG
TELEPHONE SURVEYS/POLLS			
Random sampling of population by telephone to gain specific information for statistical validation	 Make sure you need statistically valid results before making investment Survey/Questionnaire should be professionally developed and administered to avoid bias Most suitable for general attitudinal surveys 	 Provides input from individuals who would be unlikely to attend meetings Provides input from cross-section of public, not just those on mailing list Higher response rate than with mail-in surveys 	 More expensive and labor intensive than mailed surveys
INTERNET SURVEYS/POLLS			
Web-based response polls	 Be precise in how you set up site, chat rooms or discussion places can generate more input than you can look at 	 Provides input from individuals who would be unlikely to attend meetings Provides input from cross-section of public, not just those on mailing list Higher response rate than other communication forms 	 Generally not statistically valid results Can be very labor intensive to look at all of the responses Cannot control geographic reach of poll Results can be easily skewed
COMPUTER-BASED POLLING			
Surveys conducted via computer network	 Appropriate for attitudinal research 	 Provides instant analyses of results Can be used in multiple areas Novelty of technique improves rate of response 	 High expense Detail of inquiry is limited
COMMUNITY FACILITATORS			
Use qualified individuals in local community organizations to conduct project outreach	 Define roles, responsibilities and limitations up front Select and train facilitators carefully 	 Promotes community-based involvement Capitalizes on existing networks Enhances project credibility 	 Can be difficult to control information flow Can build false expectations
FOCUS GROUPS			
Message testing forum with randomly selected members of target audience. Can also be used to obtain input on planning decisions	 Conduct at least two sessions for a given target Use a skilled focus group facilitator to conduct the session 	 Provides opportunity to test key messages prior to implementing program Works best for select target audience 	 Relatively expensive if conducted in focus group testing facility
DELIBERATIVE POLLING			
Measures informed opinion on an issue	 Do not expect or encourage participants to develop a shared view Hire a facilitator experienced in this technique 	 Can tell decision-makers what the public would think if they had more time and information Exposure to different backgrounds, arguments, and views 	 Resource intensive Often held in conjunction with television companies 2 - 3 day meeting

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Techniques to bring people together

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TECHNIQUE	ALWAYS THINK IT THROUGH	WHAT CAN GO RIGHT	WHAT CAN GO WRONG
SIMULATION GAMES			
Exercises that simulate project decisions	 Test "game" before using Be clear about how results will be used 	 Can be designed to be an effective educational/training technique, especially for local officials 	 Requires substantial preparation and time for implementation Can be expensive
TOURS			
Provide tours for key stakeholders, elected officials, advisory group members and the media	 Know how many participants can be accommodated and make plans for overflow Plan question/ answer session Consider providing refreshments Demonstrations work better than presentations 	 Opportunity to develop rapport with key stakeholders Reduces outrage by making choices more familiar 	 Number of participants is limited by logistics Potentially attractive to protestors
OPEN HOUSES			
An open house to allow the public to tour at their own pace. The facility should be set up with several stations, each addressing a separate issue. Resource people guide participants through the exhibits.	 Someone should explain format at the door Have each participant fill out a comment sheet to document their participation Be prepared for a crowd all at once - develop a meeting contingency plan Encourage people to draw on maps to actively participate Set up stations so that several people (6-10) can view at once 	 Foster small group or one-on-one communications Ability to draw on other team members to answer difficult questions Less likely to receive media coverage Builds credibility 	 Difficult to document public input Agitators may stage themselves at each display Usually more staff intensive than a meeting
COMMUNITY FAIRS			
Central event with multiple activities to provide project information and raise awareness	 All issues, large and small must be considered Make sure adequate resources and staff are available 	 Focuses public attention on one element Conducive to media coverage Allows for different levels of information sharing 	 Public must be motivated to attend Usually expensive to do it well Can damage image if not done well
COFFEE KLATCHES			
Small meetings within neighborhood usually at a person's home	 Make sure staff is very polite and appreciative 	 Relaxed setting is conducive to effective dialogue Maximizes two-way communication 	ullet Can be costly and labor intensive

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MEETINGS WITH EXISTING GROUPS			
Small meetings with existing groups or in conjunction with another event	 Understand who the likely audience is to be Make opportunities for one-on-one meetings 	 Opportunity to get on the agenda Provides opportunity for in-depth information exchange in non-threatening forum 	 May be too selective and can leave out important groups
WEB-BASED MEETINGS			-
Meetings that occur via the Internet	 Tailor agenda to your participants Combine telephone and face-to-face meetings with Web-based meetings. Plan for graphics and other supporting materials 	 Cost and time efficient Can include a broader audience People can participate at different times or at the same time 	 Consider timing if international time zones are represented Difficult to manage or resolve conflict
COMPUTER-FACILITATED WORKSHOP			
Any sized meeting when participants use interactive computer technology to register opinions	 Understand your audience, particularly the demographic categories Design the inquiries to provide useful results Use facilitator trained in the technique 	 Immediate graphic results prompt focused discussion Areas of agreement/disagreement easily portrayed Minority views are honored Responses are private Levels the playing field 	 Software limits design Potential for placing too much emphasis on numbers Technology failure
PUBLIC HEARINGS			
Formal meetings with scheduled presentations offered	• Avoid if possible	 Provides opportunity for public to speak without rebuttal 	 Does not foster constructive dialogue Can perpetuate an us vs. them feeling
DESIGN CHARRETTES			
Intensive session where participants re-design project features	 Best used to foster creative ideas Be clear about how results will be used 	 Promotes joint problem solving and creative thinking 	• Participants may not be seen as representative by larger public
CONSENSUS BUILDING TECHNIQUES			
Techniques for building consensus on project decisions such as criteria and alternative selection. Often used with advisory committees. Techniques include Delphi, nominal group technique, public value assessment and many others.	 Use simplified methodology Allow adequate time to reach consensus Consider one of the computerized systems that are available Define levels of consensus, i.e. a group does not have to agree entirely upon a decision but rather agree enough so the discussion can move forward 	 Encourages compromise among different interests Provides structured and trackable decision making 	 Not appropriate for groups with no interest in compromise Clever parties can skew results Does not produce a statistically valid solution Consensus may not be reached

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ADVISORY COMMITTEES			
A group of representative stakeholders assembled to provide public input to the planning process	 Define roles and responsibilities up front Be forthcoming with information Use a consistently credible process Interview potential committee members in person before selection Use third party facilitation 	 Provides for detailed analyses for project issues Participants gain understanding of other perspectives, leading toward compromise 	 General public may not embrace committee's recommendations Members may not achieve consensus Sponsor must accept need for give-and-take Time and labor intensive
TASK FORCES			
A group of experts or representative stakeholders formed to develop a specific product or policy recommendation	 Obtain strong leadership in advance Make sure membership has credibility with the public 	 Findings of a task force of independent or diverse interests will have greater credibility Provides constructive opportunity for compromise 	 Task force may not come to consensus or results may be too general to be meaningful Time and labor intensive
PANELS			
A group assembled to debate or provide input on specific issues	 Most appropriate to show different news to public Panelists must be credible with public 	 Provides opportunity to dispel misinformation Can build credibility if all sides are represented May create wanted media attention 	• May create unwanted media attention
CITIZEN JURIES			
Small group of ordinary citizens empanelled to learn about an issue, cross examine witnesses, make a recommendation. Always non-binding with no legal standing	 Requires skilled moderator Commissioning body must follow recommendations or explain why Be clear about how results will be used 	 Great opportunity to develop deep understanding of an issue Public can identify with the "ordinary" citizens Pinpoint fatal flaws or gauge public reaction 	● Resource intensive
ROLE-PLAYING			
Participants act out characters in pre-defined situation followed by evaluation of the interaction	 Choose roles carefully. Ensure that all interests are represented. People may need encouragement to play a role fully 	 Allow people to take risk-free positions and view situation from other perspectives Participants gain clearer understanding of issues 	 People may not be able to actually achieve goal of seeing another's perspective
SAMOAN CIRCLE			
Leaderless meeting that stimulates active participation	 Set room up with center table surrounded by concentric circles Need microphones Requires several people to record discussion 	 Can be used with 10 to 500 people Works best with controversial issues 	Dialogue can stall or become monopolized

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OPEN SPACE TECHNOLOGY			
Participants offer topics and others participate according to interest	 Important to have a powerful theme or vision statement to generate topics Need flexible facilities to accommodate numerous groups of different sizes Groundrules and procedures must be carefully explained for success 	 Provides structure for giving people opportunity and responsibility to create valuable product or experience Includes immediate summary of discussion 	 Most important issues could get lost in th shuffle Can be difficult to get accurate reporting results
WORKSHOPS			
An informal public meeting that may include a presentations and exhibits but ends with interactive working groups	 Know how you plan to use public input before the workshop Conduct training in advance with small group facilitators. Each should receive a list of instructions, especially where procedures involve weighting/ ranking of factors or criteria 	 Excellent for discussions on criteria or analysis of alternatives Fosters small group or one-to-one communication Ability to draw on other team members to answer difficult questions Builds credibility Maximizes feedback obtained from participants Fosters public ownership in solving the problem 	 Hostile participants may resist what they perceive to be the "divide and conquer" strategy of breaking into small groups Several small-group facilitators are necessary
FUTURE SEARCH CONFERENCE			
Focuses on the future of an organization, a network of people, or community	• Hire a facilitator experienced in this technique	 Can involve hundreds of people simultaneously in major organizational change decisions Individuals are experts Can lead to substantial changes across entire organization 	 Logistically challenging May be difficult to gain complete commitment from all stakeholders 2 — 3 day meeting