



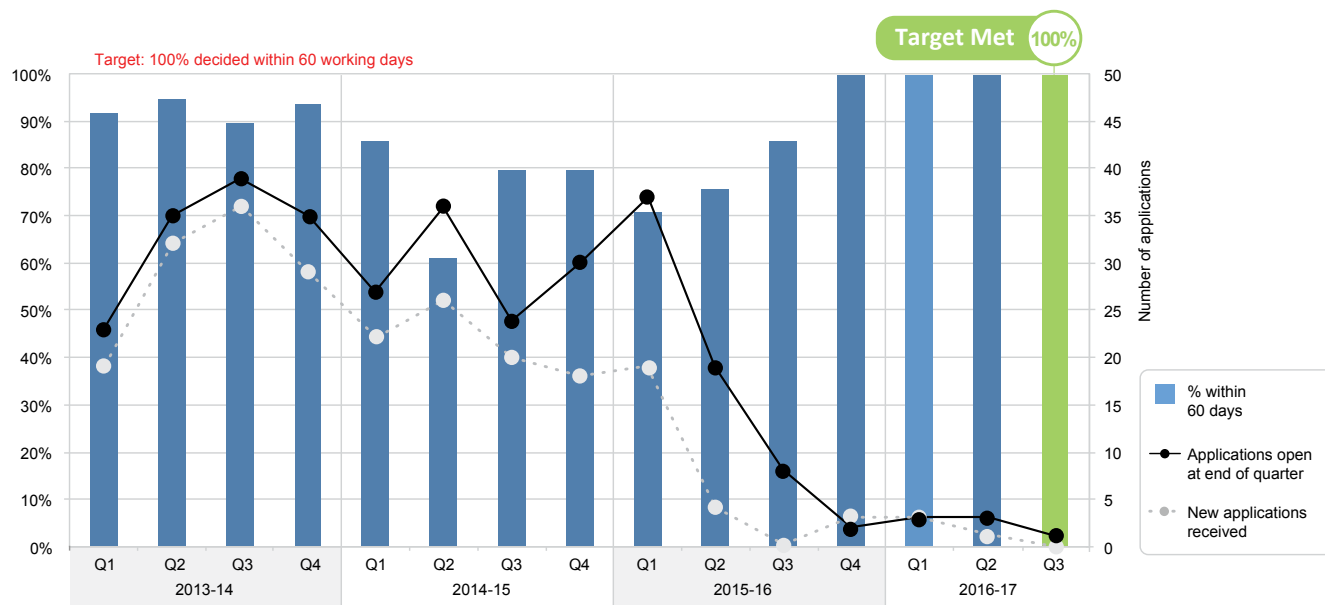
## Regulatory performance dashboard

Quarter 3 | 1 January – 31 March 2017



# Licensing and approvals

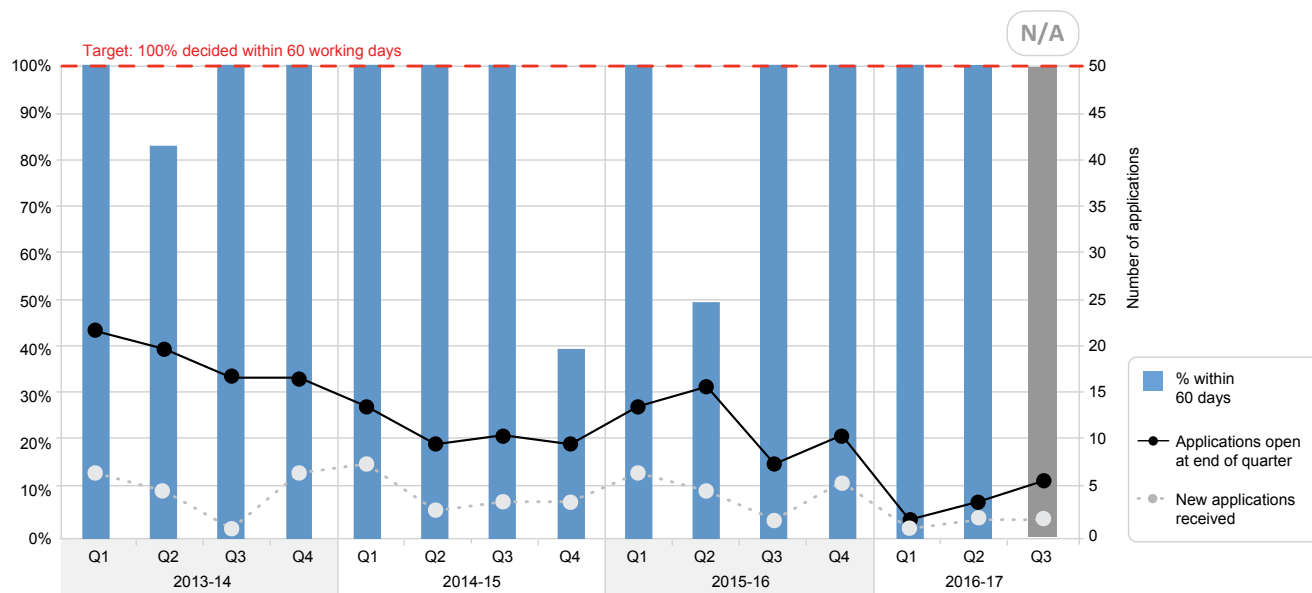
## Major resource projects – works approval applications



In Quarter 3, 2016–17 (the quarter):

- The Department of Environment Regulation (DER) decided 100 per cent (of 1 in total) of major resource projects' works approval applications within the 60 working day target.
- No new applications were received and one application was not decided by the end the quarter.
- The average time for decisions was 49 working days.

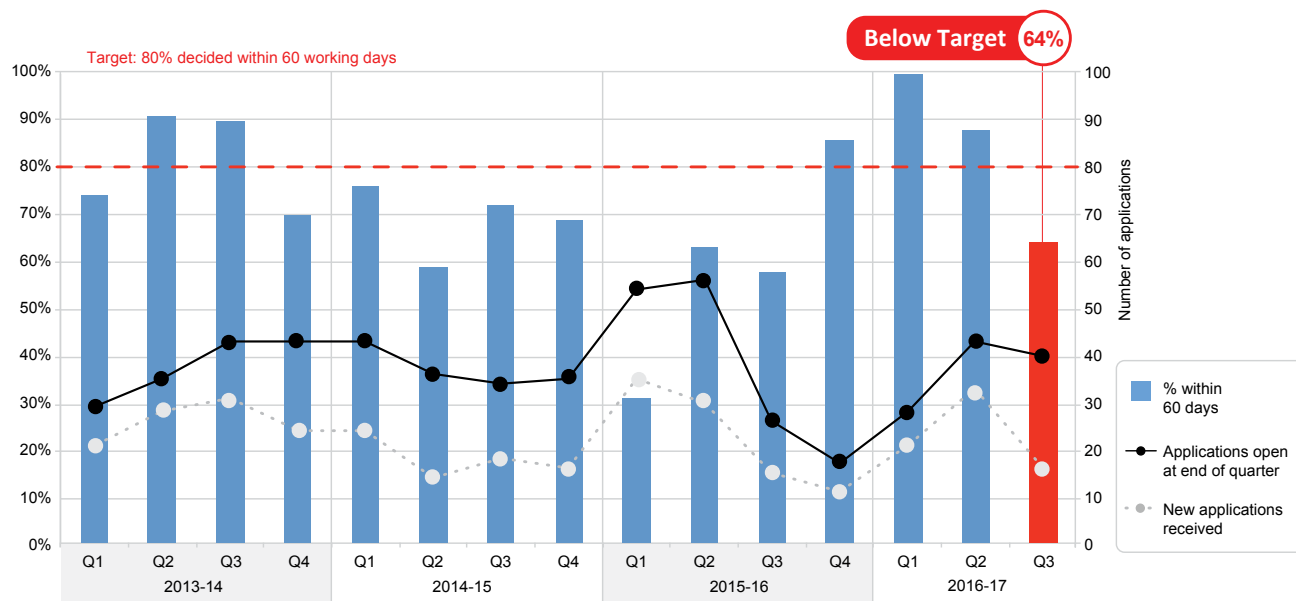
## Major resource projects – licence applications



In the quarter:

- DER did not decide any major resource projects' licence applications.
- Two new applications were received and six applications were not decided by the end of the quarter.

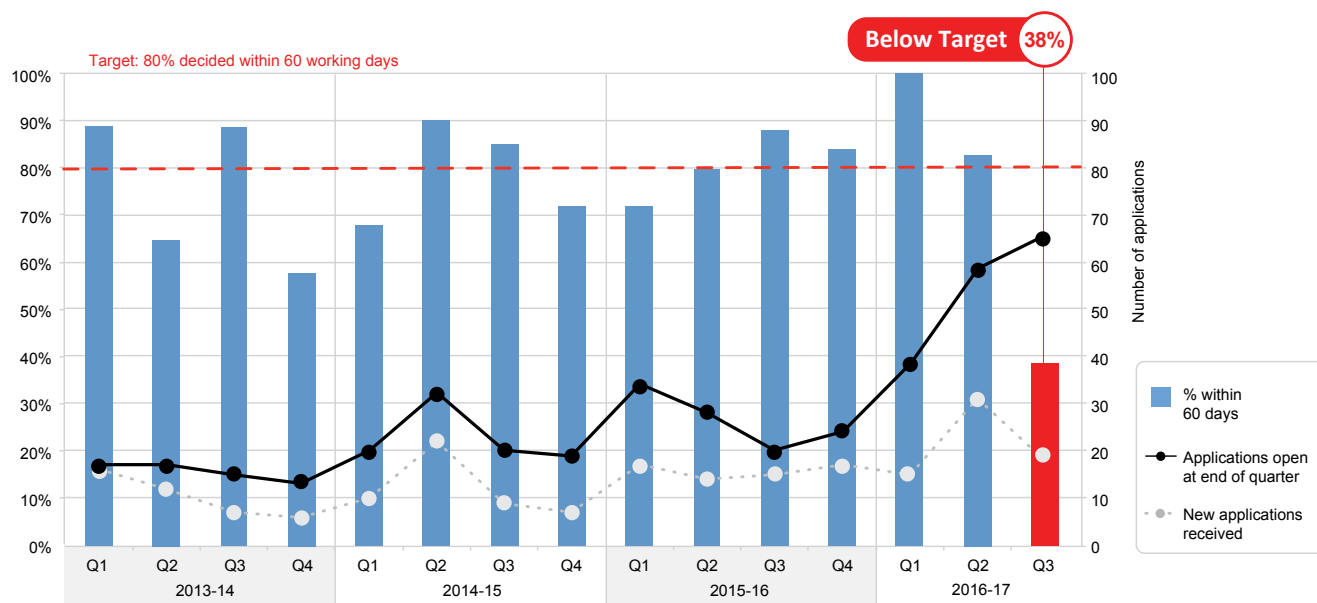
## Other projects – works approval applications



In the quarter:

- DER decided 64 per cent (11 in total) of other project works approval applications within the 60 working day target.
- 16 new applications were received and 40 applications were not decided by the end of the quarter.
- The average time for decisions was 56 working days.

## Other projects – licence applications

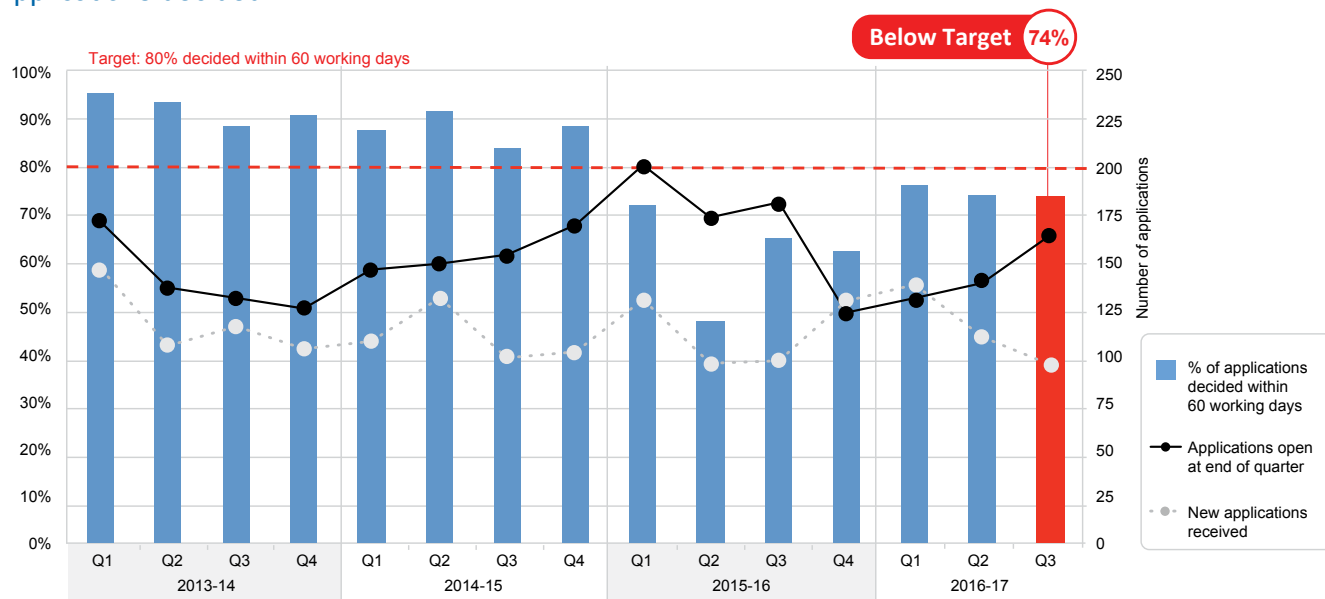


In the quarter:

- DER decided 38 per cent (8 in total) of other project licence applications within the 60 working day target.
- 18 new applications were received and 65 applications were not decided by the end of the quarter.
- The average time for decisions was 108 working days.

## Native vegetation clearing permit applications

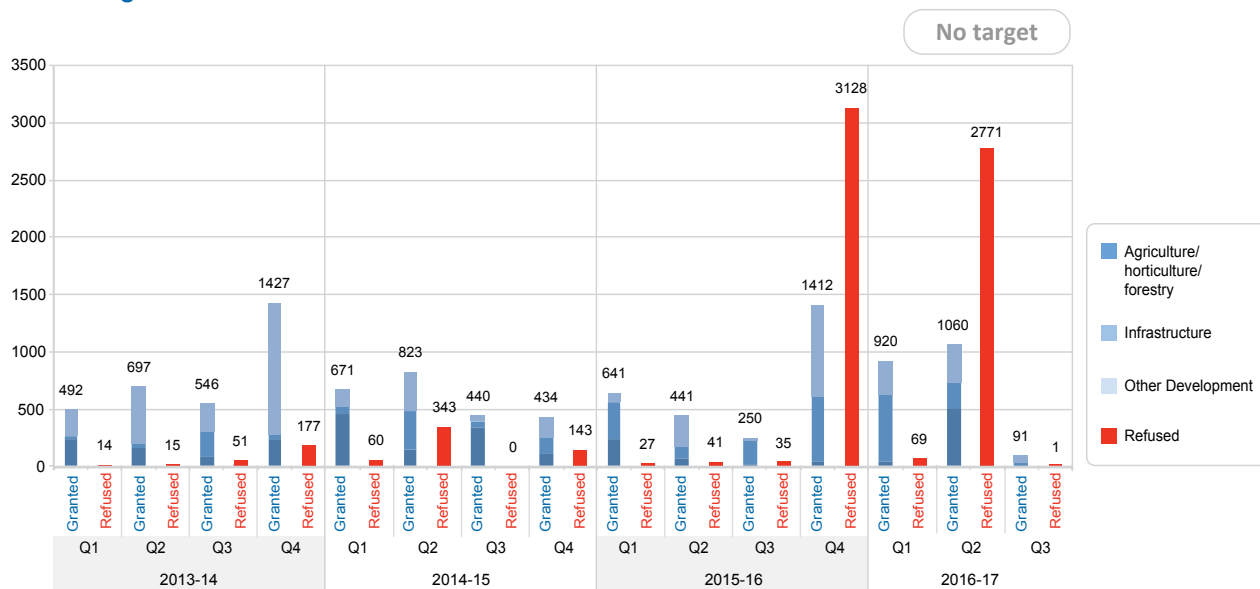
### Applications decided



In the quarter:

- DER decided 74 per cent (of 72 in total) of native vegetation clearing permit applications within the 60 working day target.
- 99 new applications were received and 163 applications were not decided by the end of the quarter.
- The average time for decisions was 48 working days.

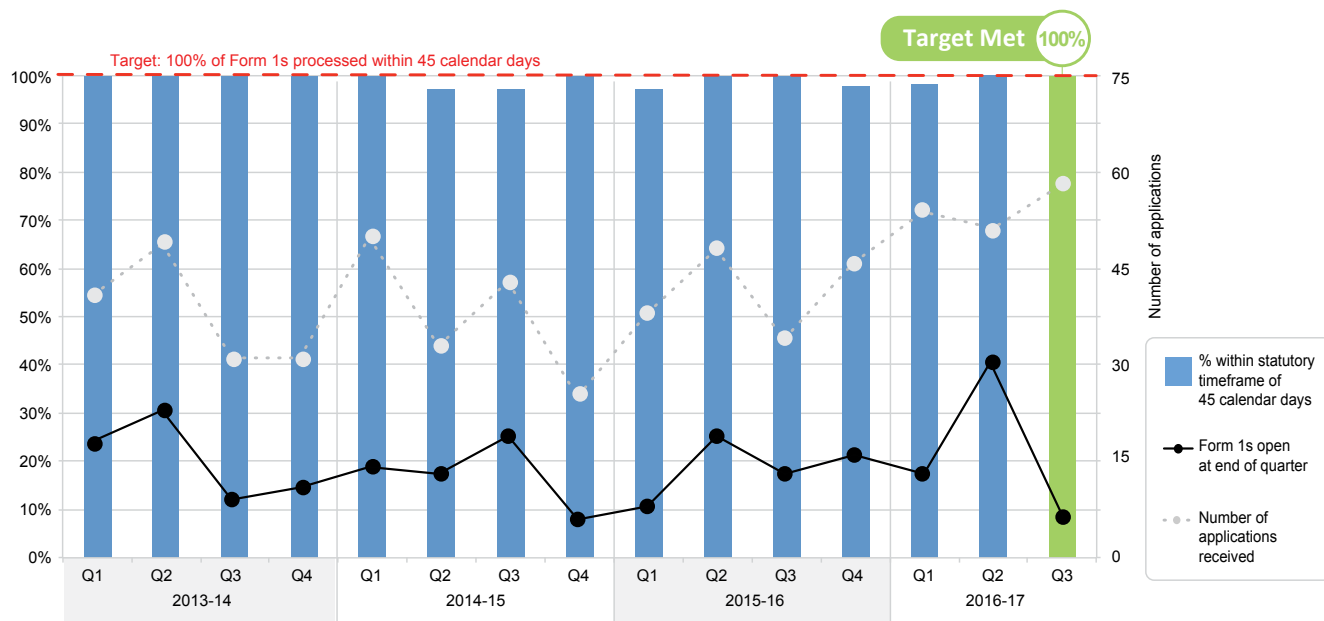
### Hectares granted or refused



In the quarter:

- DER refused 1 hectare (1 application) and granted 91 hectares (48 applications).

## Contaminated sites

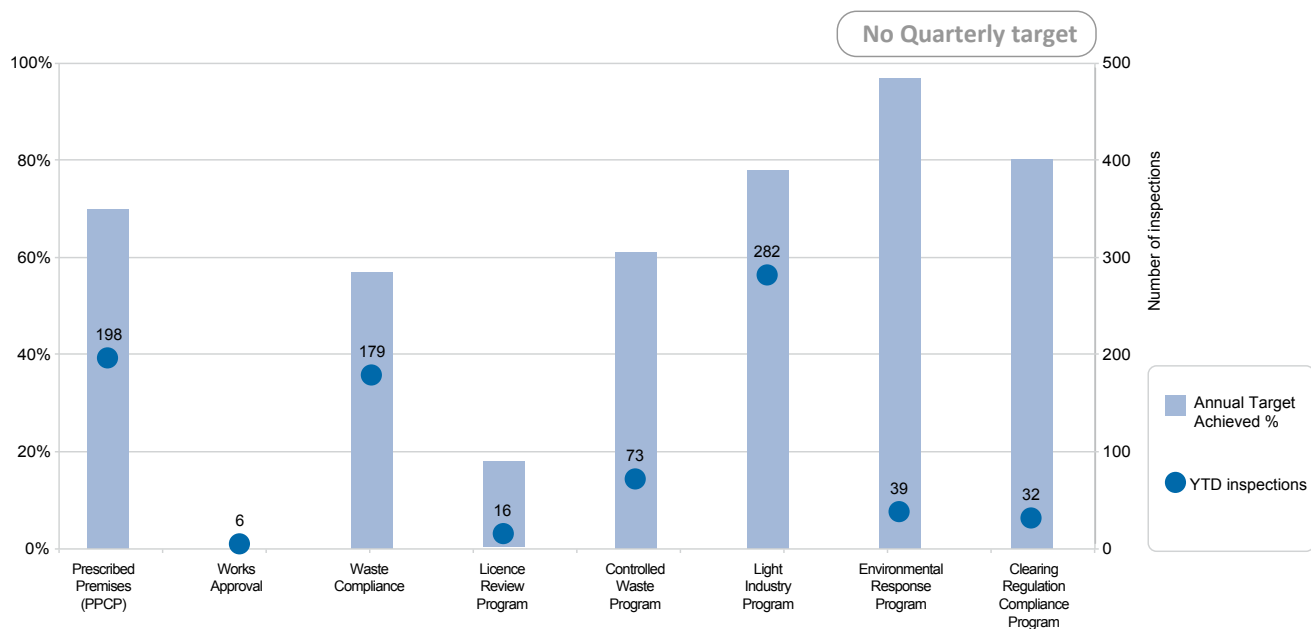


In the quarter:

- DER processed 100 per cent (of 83 in total) of Form 1s within the 45 calendar day target.
- 58 new Form 1s were received and 6 were not decided by the end of the quarter.

## Compliance and Enforcement

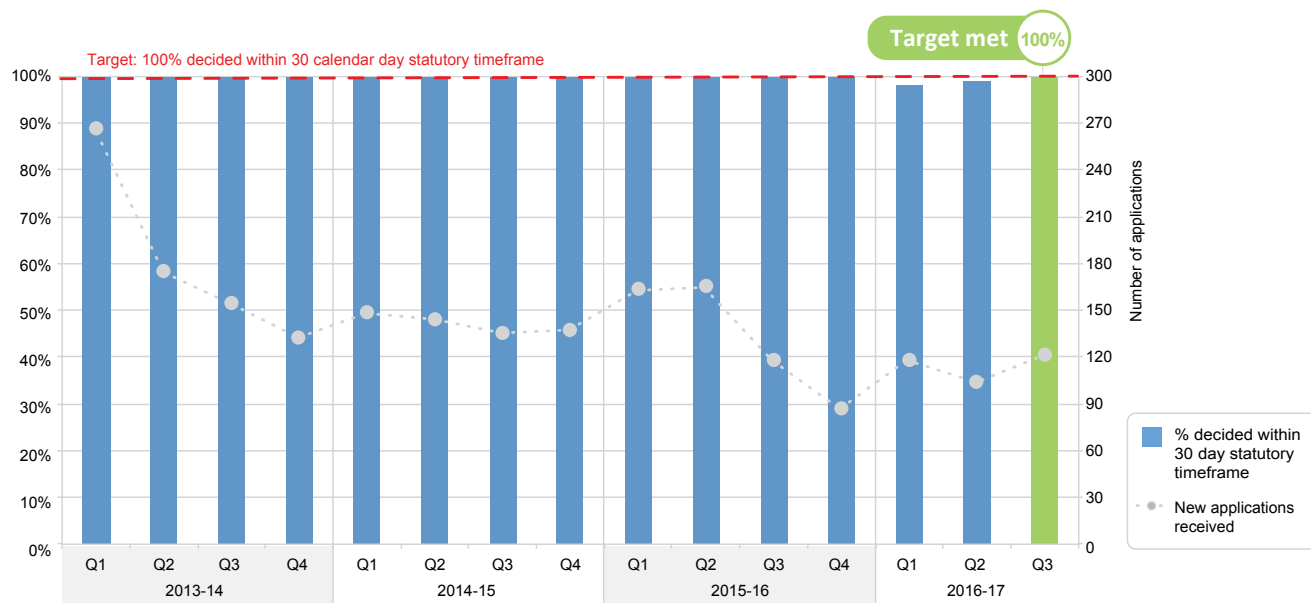
### Compliance program 2016–17



In the quarter:

- DER's annual compliance program achieved 66 per cent (825) of its annual target (1245) by the end of the quarter.

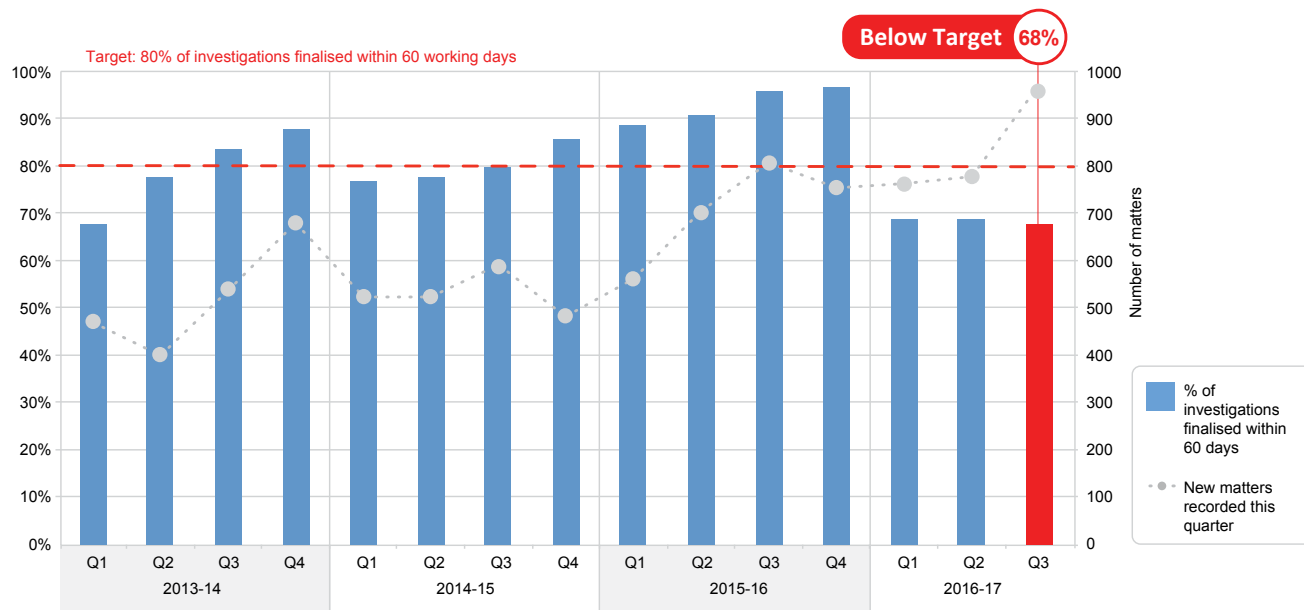
## Controlled waste licences



In the quarter:

- DER assessed 100 per cent (122 applications) within the 30 calendar day statutory target.

## Enforcement activity



In the quarter:

- DER finalised 68 per cent of investigations\* (604 out of 894 in total) within the 60 working day target.
- There were 974 new matters recorded.
- The average time taken to finalise matters was five calendar days.

\* Matters investigated can include but are not limited to: complaints/incidents relating to emissions and discharges, controlled waste transportation, clearing of native vegetation, illegal dumping as well as issues identified by Departmental officers through the course of their duties.

Q1, Q2 and Q3 16-17: These figures have been updated using a revised methodology to more accurately capture matters being investigated by the Department.

## Appendix 1 – additional data

### Major resource projects

Works Approvals Applications	2015-16	2016-17		
	Q4	Q1	Q2	Q3
No. of applications carried over from previous periods	3	2	3	5*
No. of applications received	3	3	1	0
No. of applications granted	3	2	1	2
No. of applications refused	0	0	0	0
No. of applications withdrawn	0	0	0	2
No. of applications declined to deal	1	0	0	0
No. of open applications as of end of quarter	2	3	3*	1
Average time for decision (working days)	35	51	56	49
Percentage of applications that were decided within 60 working day timeframe (target = 100% of applications decided)	100%	100%	100%	100%
Licence Applications	2015-16	2016-17		
	Q4	Q1	Q2	Q3
No. of applications carried over from previous periods	7	4*	2	5*
No. of applications received	6	1	2	2
No. of applications granted	1	3	0	0
No. of applications refused	0	0	0	0
No. of applications withdrawn	1	0	0	2
No. of applications declined to deal	0	0	0	0
No. of open applications as of end of quarter	11*	2	4*	6
Average time for decision (working days)	34	50	N/A	N/A
Percentage of applications that were decided within 60 working day timeframe (target = 100% of applications decided)	100%	100%	N/A	N/A

### Other projects

Works Approvals Applications	2015-16	2016-17		
	Q4	Q1	Q2	Q3
No. of applications carried over from previous periods	31	18	30*	41*
No. of applications received	11	21	33	16
No. of applications granted	21	7	17	11
No. of applications refused	0	0	0	1
No. of applications withdrawn	2	3	2	3
No. of applications declined to deal	2	1	1	2
No. of open applications as of end of quarter	17*	28*	43*	40
Average time for decision (working days)	46	42	60	56
Percentage of applications that were finalised within 60 working day timeframe (target = 80% of applications finalised)	86%	100%	100%	64%
Licence Applications	2015-16	2016-17		
	Q4	Q1	Q2	Q3
No. of applications carried over from previous periods	21	33*	38	58
No. of applications received	17	15	31	18
No. of applications granted	6	9	11	8
No. of applications refused	0	0	0	0
No. of applications withdrawn	4	1	0	3
No. of applications declined to deal	4	0	0	0
No. of open applications as of end of quarter	24*	38	58	65
Average time for decision (working days)	37	42	60	108
Percentage of applications that were decided within 60 working day timeframe (target = 80% of applications decided)	87%	100%	82%	38%

\* Variation in carried over applications due to data cleansing



## Licence and works approval - applications for amendment

The following revised target time frames now apply for applications for amendment:

- Major Amendments – those which may adversely affect the risk of the premises: 80% of applications finalised within 60 working days; and
- Minor Amendments – those which do not adversely affect the risks of the premises: 80% of applications finalised within 40 working days.

The previous financial year's data on amendments are available in previous [Quarterly Performance Reports](#).

### Major amendments (60 working days)

Works approval amendment applications	2016–17		
	Q1	Q2	Q3
No. of applications carried over from previous periods	1	2	4
No. of applications received	2	0	2
No. of applications determined	1	0	4
No. of open applications as of end of quarter	2	2	2
Average time for decision (working days)	120	N/A	30
Percentage of applications that were finalised within timeframe of 60 working days (target = 80% of applications finalised)	0%	N/A	100%
Licence amendment applications	2016–17		
	Q1	Q2	Q3
No. of applications carried over from previous periods	34	33	122
No. of applications received	30	14*	63
No. of applications determined	21	21*	9
No. of open applications as of end of quarter	43*	26*	55
Average time for decision (working days)	81	86*	121
Percentage of applications that were finalised within timeframe of 60 working days (target = 80% of applications finalised)	52%	33%*	69%

### Minor amendments (40 working days)

Works approval amendment applications	2016–17		
	Q1	Q2	Q3
No. of applications carried over from previous periods	16*	3*	2
No. of applications received	13	16*	9
No. of applications determined	18	9*	8
No. of open applications as of end of quarter	11*	10*	3
Average time for decision (working days)	33	26*	37
Percentage of applications that were finalised within timeframe of 40 working days (target = 80% of applications finalised)	89%	89%*	63%
Licence amendment applications	2016–17		
	Q1	Q2	Q3
No. of applications carried over from previous periods	91	93*	22*
No. of applications received	68	93	9
No. of applications determined	71	51	28
No. of open applications as of end of quarter	88*	135*	3
Average time for decision (working days)	69	116	51
Percentage of applications that were finalised within timeframe of 40 working days (target = 80% of applications finalised)	43%	35%	50%

\* Variation in carried over applications due to data cleansing



## Native vegetation clearing permit applications

Applications	2015–16	2016–17		
	Q4	Q1	Q2	Q3
No. of applications carried over from previous periods	181	122	130	138
No. of applications received	128	137	109	99
No. of decisions on applications	183	129	101	72
No. of open applications as of end of quarter	122	130	138	163
Average time for decision (calendar days minus time in 'stop-the-clock')	55	46	53	48
No. of decisions that were subject to 'stop-the-clock'	37	42	35	25
Average time in 'stop-the-clock' for decisions in calendar days	53	31	26	18
Percentage of applications that were decided within timeframe of 60 working days (target = 80% of applications decided)	62%	76%	74%	74%

## Number of hectares granted (G) or refused (R)

Purpose		2015–16		2016–17					
		Q4		Q1		Q2		Q3	
		G	R	G	R	G	R	G	R
Agriculture/horticulture/forestry	Hectares	49	3,056	49	40	515	2,762	11	0
	Applications	12	18	6	1	11	8	3	0
Infrastructure (includes local government and utilities)	Hectares	569	30	575	0	219	0	25	0
	Applications	48	4	44	0	32	0	26	0
Other Development (includes mining not assessed under DMP delegation, basic raw materials, and all other purposes such as fire hazard reduction and fence line clearing)	Hectares	794	42	296	29	326	9	55	1
	Applications	52	10	41	4	26	4	19	1
Total Hectares		1,413	3,128	920	69	1,060	2,771	91	1
Total Applications		112	32	91	5	69	12	48	1

## Contaminated sites

Form 1s	2015–16	2016–17		
	Q4	Q1	Q2	Q3
No. of Form 1s Carried over from previous quarter	13	16	13	31
No. of Form 1s received	46	54	52*	58
No. of Form 1s processed within statutory 45 calendar days	42	56	34*	83
No. of Form 1s open at end of quarter	16	13	31	6
Exceeding statutory 45 calendar days	1	1	0	0
Percentage of Form 1s that were processed within the statutory timeframe of 45 calendar days (target = 100% Form 1s processed)	98%	98%	100%	100%

\*Note: Some values for Q2(2016-17) have been corrected following data cleansing.

## Contaminated sites – additional data

### Classified sites and parcels (at 31 March 2017)

Classified Sites and Parcels	Sites	Parcels
Contaminated - remediation required	308	793
Contaminated - restricted use	108	286
Remediated for restricted use	464	3,868
Possibly contaminated - investigation required	1,437	3,220
Decontaminated	483	7,913
Not contaminated - unrestricted use	337	5,751
Report not substantiated	355	1,340
<b>Total</b>	<b>3,492</b>	<b>23,171</b>

### Mandatory auditor's reports (MARs)

Mandatory Auditor's Reports (MARs)	2015-16	2016-17		
	Q4	Q1	Q2	Q3
No. of MARs carried over from previous quarter	5	10*	3	7*
No. of MARs received in quarter	16	17*	18	9
No. of MARs processed by DER only <sup>1</sup> in quarter	8*	11	6	3
Total No. of MARs processed in quarter	11*	24*	10	9
No. of MARs open at end of quarter	10*	3*	11	7
Average time for MARs processed by DER only (days)	19*	22	16	23
Percentage of MARs processed by DER only within 21 calendar days (target = 80% MARs processed)	75%*	54%	83%	33%

Where MARs require specialist technical advice, such as in relation to asbestos, radiological contamination or quantitative human health risk assessment, these MARs are referred to other relevant agencies.

\*Note: Some values have been corrected following data cleansing.

## Compliance Programs 2016-17

Inspection program	Program description	Q3 inspections (Actual)	2016-17 inspections (Actual)	2016-17 inspections (Target)	Percentage of annual target achieved
Prescribed Premises Compliance Program (PPCP)*	Assessing compliance with Licences and Registrations issued under Part V of the EP Act.	85	198	284	70%
Works Approval Compliance Program**	Targeted inspection of premises with completed works under Works Approvals	0	6	N/A	N/A
Waste Compliance Program	Landfills and associated waste industries	82	179*	312	57%
Licence Review Program	Targeted risk-based review of existing prescribed premises	1	16	89	18%
Environmental Standards Implementation Program***	Assessing premises transition to published Environmental Standards.	0	0	N/A	N/A
Controlled Waste Program	Controlled waste carriers, drivers, vehicles and tanks, and waste facilities	29	73	120	61%
Light Industry Compliance Program	Joint program with Local Governments targeting light industrial areas.	97	282	360	78%
Environmental Response Program	Includes interagency inspections targeting hazardous materials storage.	23	39	40	97%
Asbestos Testing Regime Program****	Assessing and validating asbestos testing regimes for recycled construction and demolition material with the <i>Guideline for Managing Asbestos at Construction and Demolition Waste Recycling Facilities</i> .	0	0	N/A	N/A
Clearing Regulation Compliance Program	Assessing compliance with clearing permits issued under Part V of the EP Act and the <i>Environmental Protection (Clearing of Native Vegetation) Regulations 2004</i> .	14	32	40	80%
<b>Total</b>		<b>331</b>	<b>825</b>	<b>1245</b>	<b>66%</b>

\*Recount of Q1 and Q2 figures for waste levy and associated industry figures provides a total of 97 inspections. Previously reported as a total of 88. Therefore, Actual YTD figure is 179 inspections.

\*\*The Works Approval Program does not have a specific target, thus does not contribute to total values.

\*\*\* The Environmental Standard Implementation Program has not commenced, as DER's suite of Environmental Standards are still under development.

\*\*\*\* The Asbestos Testing Regime Program has not yet been commenced due to resourcing issues and it is anticipated the program will not proceed in 2016/17.