

Application for registration

Building contractor (partnership)

Use of this form

This form is for a partnership applying to be registered as a building contractor with the Building Service Board.

Registration as a building contractor entitles the registration holder to carry out building work.

Registration as a building contractor

A person, partnership or company must be registered as a building contractor to:

- be named as a builder on a building permit;
- provide services as a builder for work that requires a building permit, with a value of \$20,000 or more and is located within the area of the Board's jurisdiction; and
- use a prescribed title such as registered building contractor.

Nominated supervisor

A building contractor must have a registered building practitioner appointed as the nominated supervisor at all times.

Financial probity

If you have experienced an insolvency event, further information regarding this event may be required. In certain circumstances, the Board has the power to declare a person an excluded contractor from registration as a building contractor on either a temporary or permanent basis.

Financial capacity

The partnership must demonstrate it has the capacity to meet its debts as and when they fall due. It is important that you read the <u>*(Registered Building Contractor - Application Guidelines')*</sub> document on the Department's website. This document explains the financial requirements for registration and what information you must provide in order to satisfy those requirements.</u>

Building service debt

If your partnership has incurred a building service debt, being an unsatisfied court judgement or adjudication determination that has not been paid, it cannot be registered as a building contractor.

Ineligible person

If a partner of the partnership is currently declared an ineligible person, the partnership cannot be registered as a building contractor.

Excluded contractor

If the partnership is currently declared an excluded contractor (either temporarily or permanently), the partnership cannot be registered as a building contractor.

Duration of registration

Registration is for a period of three years.

Application fee and registration fee

The registration fee is for the three years.

Payment of both an application fee and a registration fee must accompany this application.

GST is not payable on this fee.

The application fee is non-refundable.

If the Board refuses your application the registration fee will be refunded.

Please refer to our website for the current schedule of fees.

Complete your application

Your application cannot be processed unless all sections are completed, all attachments provided and fees paid.

Incomplete applications

The Department cannot assess incomplete applications. If your application is incomplete at the time of lodgement, you will be requested to provide outstanding or additional information. If you do not provide the information by the date stated in the request, your application will be lapsed or refused. The application fee will not be refunded.

Return of documents

The Department does not return documents. If required, make a copy before you submit your form and attachments.

After your form is submitted

If the Department requires further information, you will be contacted.

How to submit and pay

Pay for and submit your application, including attachments:

Online

Submit your application and pay online: https://www.commerce.wa.gov.au/buildin g-and-energy/building-contractorregistration

By post

Pay by credit card using the payment slip on the form online:

https://www.commerce.wa.gov.au/publica tions/application-payment-form or pay by cheque or money order made payable to:

Department of Mines, Industry Regulation and Safety

Licensing Services Branch Locked Bag 100 EAST PERTH WA 6892

💮 In person

Submit your application and pay by cash, cheque, money order or card at the customer service counter.

Applications will not be assessed for completeness upon submission. Your application will be assessed following allocation to a Licensing Officer.

Level 1, 303 Sevenoaks Street CANNINGTON WA 6107

Office hours are: Mon–Fri 8:30am to 4:30pm.

Fax and email submission is not available for this application.

State Administrative Tribunal review of Board decisions

The decision to refuse an application for registration or impose a condition on registration is a reviewable decision in certain circumstances. If you disagree with the Board's determination in relation to this registration application, you may be able to apply to the SAT for a review of the decision.

More information

If you need more information about the status of your application, contact 1300 489 099 or email: <u>be.licensing@dmirs.wa.gov.au</u>



Form 14 Application for registration Building contractor (partnership)

Checklist

Use this checklist to ensure all parts of the application have been completed and all necessary supporting documents are ready to attach.

| Partner details form completed and ready to attach: |
|---|
| Form A2.1 (natural person partner); OR |

Form A2.2 (body corporate partner)

| | Management a | and supervision | questionnaire | downloaded, | completed and | d ready to attach |
|--|--------------|-----------------|---------------|-------------|---------------|-------------------|
|--|--------------|-----------------|---------------|-------------|---------------|-------------------|

- Business profile information document downloaded, completed and ready to attach
- Financial information section completed
- Personal financial statement for each individual partner completed and ready to attach

Special purpose financial statements for a body corporate partner that has been trading. Must include corresponding bank statement, accounts receivable aging and accounts payable aging attached (refer to the <u>Building Contractor Application Guidelines</u>: <u>www.commerce.wa.gov.au/publications/building-contractor-application-guidelines</u> for more information)

- Bank statements identifying the partnership as the accountholder or individual bank statements of all partners which constitute the partnership ready to attach.
- Nominated supervisor details completed
- Letter confirming the nominated supervisor is engaged under an employment contract ready to attach (not required if the nominated supervisor is a partner of the partnership)
- Declaration signed and dated
- Payment appropriate payment applicable at the time of submitting application made

Payment

Visit the building fee schedule page for current application and registration fees.

Submit and pay for your application:

Online

If you are submitting your application online, you will be able to make payment using the Department's secure payment gateway.

By post (or in person) using your credit card

If you are submitting this application by post (or in person) and are making payment by credit card, you must complete the Application Payment Form: <u>https://www.commerce.wa.gov.au/publications/application-payment-form</u> and attach it your application.

| OFFICE USE ONLY | | | | | |
|-----------------|----|--------------------|----|----------------------|--|
| Total Fee | \$ | Department Code | BD | Chart Description | Build App Fee Cont Pship initial Build Reg Fee Cont Pship initial |



Application for registration Building contractor (partnership)

Form 14

Your application cannot be assessed unless all sections are completed and all attachments are provided. Refer to the application guidelines for assistance in completing this application.

Partnership details

| Partnership Name: | | | | |
|---|------------|--|----------------------|------------------------------|
| Business Name | (trading a | s) | | |
| Australian Business Numb | ber (ABN) | | | |
| Principal Place of business address: | | | | |
| (required for publication on the register. It cannot be a PO Box) | | | Post C | ode: |
| Destal Address: | | | | |
| Postal Address: | | | | |
| | | | Post C | ode: |
| Telephone details: | Office: | Area Code () | | |
| | Mobile: | | | |
| | | number <u>must</u> be provided t ons via SMS. | o receive renewal r | əminder |
| Email: | | | | |
| | | ddress <u>must</u> be provided fo ortant information. | or service of renewa | l reminder notifications and |

Partner details

Download and complete the relevant form for each partner of the partnership. Form A2.1 must be completed for each partner that is an individual (natural person). Form A2.2 must be completed for each partner that is a company/body corporate.

| 0 | Partner details – Individual (natural person) form A2.1. | Attached | □ N/A |
|---|--|----------|-------|
| 0 | Partner details – (Company/Body Corporate) form A2.2. | Attached | □ N/A |

Management and supervision

The partnership is required to have arrangements to ensure building services carried out will be managed and supervised in a proficient manner.

Download and complete the 'Management and Supervision Questionnaire' and attach to this application. The 'Management and Supervision Questionnaire' is available at: <u>www.commerce.wa.gov.au/building-and-energy/building-contractor-registration</u>

| 6 | P | | | |
|-----|---|---|---|---|
| - (| a | 2 | L | |
| | N | 1 | N | 1 |
| | N | 9 | | 9 |
| | | ⊾ | J | |

'Management and Supervision Questionnaire' attached.

Business profile

Download and complete the 'Business Profile Information' document and attach to this application. The 'Business Profile Information' document is available at: <u>www.commerce.wa.gov.au/building-and-energy/building-contractor-registration</u>



'Business Profile Information' document attached.

Registration history

| 1 | Has the partnership or a partner (who is either an individual or an officer of the company, or the company which constitutes the partnership) been refused a licence or registration in the last ten years? | Yes | 🗌 No | |
|---|---|-------|------|--|
| 2 | Has the partnership or a partner (who is either an individual or an officer | 🗌 Yes | 🗌 No | |

| | of the company, or the company which constitutes the partnership) had a licence or registration cancelled or suspended in the last ten years? | | | |
|---|--|-------|------|--|
| 3 | Has a partner (who is either an individual or an officer of the company, or the company which constitutes the partnership) been involved in court, | 🗌 Yes | 🗌 No | |

| , | |
|---|----------|
| If your answer was "Yes" to any of the questions above, provide full details on | Attached |
| a separate page and submit with this application. | |

tribunal or disciplinary proceedings (including remedy orders) in the last

Ineligible person

ten vears?

If a partner is currently an ineligible person, the partnership cannot be registered as a building contractor. The State Administrative Tribunal will have informed a partner if they have been declared an "ineligible person".

| 4 | Is any partner (who is either an individual or an officer of the company which | 🗌 Yes | 🗌 No |
|---|--|-------|------|
| | constitutes the partnership) currently declared an ineligible person by the | | |
| | State Administrative Tribunal? | | |

Attached

Excluded contractor

| If the partnership is currently an excluded contractor, the partnership cannot be registered as a building |
|--|
| contractor. The Building Services Board will have informed the partnership if it has been declared an |
| "excluded contractor". |

5 Is the partnership currently declared an excluded contractor by the Building Yes No Services Board?

Financial probity

| If the partnership or any of its partners have experienced an insolvency event, you may be required to provide further information about this event(s) in relation to the Building Services Board's power to declare a person an excluded contractor. You will be contacted by the Department if further informatio is required. | | | |
|--|--|-------|------|
| 6 | Is any partner (who is either an individual or an officer of the company, or the company which constitutes the partnership) currently declared an excluded | 🗌 Yes | 🗌 No |

| 7 | Has any partner (who is either an individual or an officer of the company which constitutes the partnership) experienced an insolvency event* in the last five years? | 🗌 Yes | 🗌 No |
|---|---|-------|------|
| | | | |

*An insolvency event for individuals includes bankruptcy, Part IX (Debt Agreements), Part X (Personal Insolvency Agreement) or cancellation by the State Administrative Tribunal on financial grounds.

If you answered 'yes', identify the partner/officer's current status:

contractor by the Building Services Board?

Still bankrupt

Discharged

Date discharged: /

1

8 If a company constitutes the partnership, has the company experienced an Yes No insolvency event^ in the last five years?

[^]An insolvency event for companies includes members' voluntary liquidation, court liquidation, creditors' voluntary liquidation, appointment of an administrator, a Deed of Company Arrangement or cancellation by the State Administrative Tribunal on financial grounds.

If you answered 'yes' above, identify the external administrator's current status:

| Still appointed | Retired | Date retired: | |
|-----------------|---------|---------------|--|
| | | / / | |

9 If a company constitutes the partnership, has any officer of the company been Yes No a director or company secretary of a company(s) within two years of that company(s) experiencing an insolvency event^ in the last five years?

If you answered 'yes' above, provide the following details:

| Company Name | ACN | Name of Officer |
|--------------|-----|-----------------|
| | | |
| | | |
| | | |
| | | |
| | | |

Attach a list if there is insufficient space above.

Attached

Building service debt

If the partnership has a building service debt that has not been paid in full within the specified period (or is not subject to a payment arrangement, or proceedings have not commenced for an appeal or review), the company cannot be registered as a building contractor.

| 10 | Does the partnership have any outstanding debts for which judgement has been entered in a court of competent jurisdiction (e.g. Magistrates, District, Federal Court) in connection with a contract for a building service or for the supply of goods or services for a building service? | 🗌 Yes | 🗌 No |
|----|--|-------|------|
| 11 | Does the partnership have any outstanding adjudicated amounts that are payable under the <i>Building and Construction Industry (Security of Payment) Act 2021</i> or the <i>Construction Contracts (Former Provisions) Act 2004</i> ? | 🗌 Yes | 🗌 No |

Financial capacity

The partnership must demonstrate it has the capacity to meet debts as and when they fall due.

The Building Services Board generally requires an applicant to demonstrate access to a minimum of \$50,000 in liquid funds (cash or cash equivalent) and have a quick ratio of at least 1:1 to meet the financial requirements.

If the partnership is between natural persons (individuals), each individual partner must complete a personal financial statement on the following page. Alternatively, provide prepared financial statements certified by an independent accountant. The financial statements must be completed within three months from the date of application.

If the partnership includes a company, the company will need to provide current special purpose financial reports prepared and certified by an independent accountant within three months from the date of application. Intangibles such as goodwill, rights of indemnity, intellectual property such as trademarks or patents, formation expenses or borrowing expenses should not be included. Refer to the Application Guidelines available here: www.commerce.wa.gov.au/publications/building-contractor-application-guidelines for more information about the content requirements of the reports.

Copies of any loan agreements, paid up share capital, overdraft facilities etc. will need to be provided as verification and must be in the name of the company only.



Required financial documentation attached.

Personal financial statement (natural persons only) – partner 1

Each partner of the partnership must complete the following form or provide a prepared financial statement certified by an independent accountant. Make additional copies if necessary.

You may attach further written details to this form if there is not enough space to include all relevant matters.

Note: Do not include intangibles such as goodwill, rights of indemnity, intellectual property such as trademarks or patents, formation expenses or borrowing expenses.

| Partner name: | | | _ | |
|---|---|---|---|--|
| Date statement completed* | 1 | 1 | | |
| *Must be completed within three months of submitting the application. | | | | |

Assets

Please indicate if any or all of the items below are held in joint names or by a partnership.

| Properties | % |
|---|--------|
| Land and properties (house, flat, factory, shop, vacant land) | owned |
| | \$ |
| | \$ |
| | \$ |
| Value of contents | \$ |
| Cash Balances (list bank, credit union etc. and branch) | |
| | \$ |
| | \$ |
| Business Related Assets | |
| Salary, annual leave accrual | \$ |
| Plant and equipment (exclude leased items) | \$ |
| Motor vehicles (exclude leased items) | \$ |
| Tools of trade | \$ |
| Stock of materials | \$ |
| Trade debtors (supply list) | \$ |
| Other Assets | |
| Personal effects | \$ |
| Life insurance policies (policy surrender value) | \$ |
| Superannuation accrued benefit | \$ |
| Boat / caravan | \$ |
| Details of other assets (shares, investments, etc.) | \$ |
| Total Assets | \$ |

Liabilities

| Net Tangible Assets | \$ |
|---------------------------------------|--------|
| Less Total Liabilities | \$ |
| Total Assets | \$ |
| Summary | |
| | |
| Total Liabilities | \$ |
| | \$ |
| | \$ |
| Other liabilities (please specify) | \$ |
| Unpaid tax liability | \$ |
| Trade creditors (supply list) | \$ |
| Bank overdraft limit \$ | \$ |
| Owing on personal loans, credit cards | \$ |
| Owing on hire purchase / lease | \$ |
| Owing on other properties | \$ |
| Owing on residential property | \$ |
| | owned |

Verification of funds available

Copies of bank statements which identify the applicant as the account holder must be provided to substantiate available cash funds and credit facilities.

Funds held in a different name to the applicant will not be considered.

The statements must correspond to the date of when the personal financial statement was completed and/or the financial statement certified by your accountant.



Current bank statement(s) attached

Attached

%

Personal financial statement (natural persons only) – partner 2

| Partner name: | | |
|---|------------------|-------|
| Date statement completed* / / | | |
| *Must be completed within three months of submitting the application. | | |
| • | | |
| Assets | | |
| Please indicate if any or all of the items below are held in joint names or | by a partnership | |
| Properties | | % |
| Land and properties (house, flat, factory, shop, vacant land) | | owned |
| | \$ | |
| | \$ | |
| | \$ | |
| Value of contents | \$ | |
| Cash Balances (list bank, credit union etc. and branch) | | |
| | \$ | |
| | \$ | |
| Business Related Assets | | |
| Salary, annual leave accrual | \$ | |
| Plant and equipment (exclude leased items) | \$ | |
| Motor vehicles (exclude leased items) | \$ | |
| Tools of trade | \$ | |
| Stock of materials | \$ | |
| Trade debtors (supply list) | \$ | |
| Other Assets | | |
| Personal effects | \$ | |
| Life insurance policies (policy surrender value) | \$ | |
| Superannuation accrued benefit | \$ | |
| Boat / caravan | \$ | |
| Details of other assets (shares, investments, etc.) | \$ | |
| Total Assets | \$ | |

Liabilities

| | | % owned |
|---------------------------------------|----------|------------|
| | <u>^</u> | ownod |
| Owing on residential property | \$ | |
| Owing on other properties | \$ | |
| Owing on hire purchase / lease | \$ | |
| Owing on personal loans, credit cards | \$ | |
| Bank overdraft limit \$ | \$ | |
| Trade creditors (supply list) | \$ | |
| Unpaid tax liability | \$ | |
| Other liabilities (please specify) | \$ | |
| | \$ | |
| | \$ | |
| Total Liabilities | \$ | |
| Summary | | |
| Total Assets | \$ | |
| Less Total Liabilities | \$ | |
| Net Tangible Assets | \$ | |

Verification of funds available

Copies of bank statements which identify the applicant as the account holder must be provided to substantiate available cash funds and credit facilities.

Funds held in a different name to the applicant will not be considered.

The statements must correspond to the date of when the personal financial statement was completed and/or the financial statement certified by your accountant.



Current bank statements attached

Attached

Nominated Supervisor

| Please complete a co | py of this page for each nom | inated supervisor. | | |
|--|------------------------------|--------------------|-------------------|--------|
| Salutation | Please circle correct title | Mr Mrs Ms C | Other (list other | title) |
| Family name | | | | |
| First name | | | | |
| Middle name(s) | | | | |
| Building practitioner registration number BP | | | | |
| Is the nominated supervisor a partner of the partnership? | | | 🗌 Yes 🗌 No | |
| If you answered 'no' above, attach a letter, signed by a partner, confirming Attached the nominated supervisor will be engaged as an employee of the company under an employment contract. (Not required if the nominated supervisor is a director or officer of the company). | | | | |
| If 'other officer' state the office held by the nominated supervisor | | | | |
| Basis of employment | 🗌 Full time 🔲 P | art time | | |
| Signature of Nominated supervisor | | | Date: | |

Declaration by applicant

False and misleading information

Section 99 of the *Building Services (Registration) Act 2011* provides for penalties of up to \$25,000 where a person makes a false or misleading statement or provides false or misleading information or particulars as part of an application for registration or renewal of registration.

Declaration

I hereby authorise the Building Services Board, the Department of Mines, Industry Regulation and Safety or persons acting on behalf of the Board or the Department to make enquiries considered necessary to assess this application. My general consent includes the specific consent to the acquisition of copies of the criminal records and credit reports of the partners. I agree that the Board can use any or all information received pursuant to this authority for the purposes of the assessment or audit of this application.

Executed for and on behalf of:

In accordance with Section 127 of the *Corporations Act 2001*

| Name of partnershi | p |
|--|--|
| Signature of applicant partner/director of partner (if applicable) For and on behalf of the partnership (Delete if not applicable) | Signature of partner/director of partner (if applicable) or Signature of company secretary (being sole director/secretary of the company, if applicable) For and on behalf of the partnership (Delete if not applicable) |
| Name (print) | Name (print) |
| Date | Date |